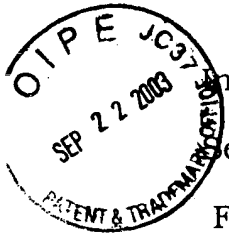


IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



In re Application of Kemp E. Falkner

Serial Number: 10/632,040

Filing Date: 08/01/03

For: Liquid Treatment Methods

Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

STATEMENT UNDER 37 C.F.R. 1.97 AND 1.98

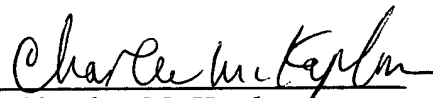
The US Patents identified below are listed on the attached PTO-1449, and a copy of each is attached hereto.

US Patents 1,726,314; 3,371,033; 4,179,375; 4,491,549; 4,652,382; 4,735,716; 5,015,394; 5,147,530; 5,286,389; 5,456,830; 5,709,799; 5,916,439; 6,207,064 and 6,221,245 each disclose liquid treatment apparatus having internal components that direct or distribute flow of the liquid being treated.

September 17, 2003

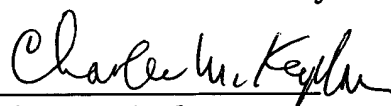
30 N. Nanagosa Trail  
Suttons Bay, MI 49682  
(231) 271-6868  
email kaplanpat@mac.com

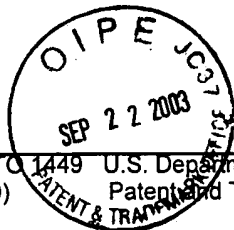
Respectfully submitted,

  
Charles M. Kaplan  
Attorney for Applicant  
Customer Number 24326

CERTIFICATE OF MAILING

I, Charles M. Kaplan, hereby certify that the foregoing Statement Under 37 C.F.R. 1.97 and 1.98 has been deposited with the U.S. Postal Service, with adequate first class postage prepaid, in an envelope addressed to the the Commissioner for Patents, P.O.Box 1450, Alexandria, VA 22313-1450 this 17th day of September, 2003.

By   
Charles M. Kaplan  
Registration No. 19,416



FORM PTO 1449 U.S. Department of Commerce (Rev 7/80) Patent and Trademark Office <b>LIST OF PRIOR ART CITED BY APPLICANT</b> (Use several sheets if necessary)	ATTORNEY DOCKET NO. F-5  APPLICANT: Kemp E. Falkner  FILING DATE 08/01/03	Sheet 1 of 2  SERIAL NO 10/632.040  GROUP
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**U. S. PATENT DOCUMENTS**

Examiner Initial *	Document Number	DATE	NAME	CLASS	SUB- CLASS	FILING DATE IF APPROPRIATE
AA	1 7 6 2 3 1 4	6-10-30	Sutphen et al	210	197	
AB	3 3 7 1 0 3 3	2-27-68	Simmons et al	210	3	
AC	4 1 7 9 3 7 5	12-18-79	Smith	210	197	
AD	4 4 9 1 5 4 9	1-1-85	Fischer et al	261	36R	
AE	4 6 5 2 3 8 2	4-24-87	Edwards et al	210	752	
AF	4 7 3 5 7 1 6	4-5-88	Petrucci et al	210	232	
AG	5 0 1 5 3 9 4	5-14-91	McElhenny	210	744	
AH	5 1 4 7 5 3 0	9-15-92	Chandler et al	210	90	
AI	5 2 8 6 3 8 9	2-15-94	Hardison	210	712	
AJ	5 4 5 6 8 3 0	10-10-95	Stanford	210	235	
AK	5 7 0 9 7 9 9	1-20-98	Engelhard	210	478	

**FOREIGN PATENT DOCUMENTS**

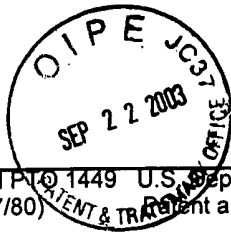
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AL							
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AN							

**OTHER PRIOR ART (Including Author, Title, Date, Pertinent Pages, Etc.)**

AO	
AP	
AR	

EXAMINER	DATE CONSIDERED
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FORM PTO 1449 U.S. Department of Commerce (Rev 7/80) PATENT & TRADEMARK OFFICE		ATTORNEY DOCKET NO. .F-5		Sheet 2 of 2			
LIST OF PRIOR ART CITED BY APPLICANT (Use several sheets if necessary)		APPLICANT: Kemp E. Falkner		SERIAL NO 10/632,040			
		FILING DATE 8-01-03		GROUP			
U. S. PATENT DOCUMENTS							
Examiner Initial *		Document Number	DATE	NAME	CLASS	SUB-CLASS	FILING DATE IF APPROPRIATE
	AA	5 9 1 6 4 3 9	6-29-99	Oleskow	210	198.1	
	AB	6 2 0 7 0 6 4	3-27-01	Gargas	210	752	
	AC	6 2 2 1 2 4 5	4-24-01	Colburn	210	223	
	AD						
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